Doc code :IDS Receipt date: 09/16/2008
Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (08-08)
Approved for use through 08/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 10612179 Filing Date 2003-07-02 First Named Inventor ROLAND KREUTZER Art Unit 1635 Examiner Name TRACY ANN VIVLEMORE Attorney Docket Number A2038-706120

				U.S.I	PATENTS	Remove
Examine Initial*	r Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
/T.V./	1	6271358		2001-08-07	Manoharan et al.	
000000000000000000000000000000000000000	2	6166197		2000-12-26	Cook et al.	
000000000000000000000000000000000000000	3	6127533		2000-10-03	Cook et al.	
000000000000000000000000000000000000000	4	6054299		2000-04-25	Conrad	
000000000000000000000000000000000000000	5	5998203		1999-12-07	Matulic-Adamic et al.	
	6	5587361		1996-12-24	Cook et al.	
000000000000000000000000000000000000000	7	5521302		1996-05-28	Cook	
V	8 5328470 1994-07-12		Nabel et al.			

Receipt date: 09/16/2008 Application Number 10612179 Filing Date 2003-07-02 INFORMATION DISCLOSURE First Named Inventor ROLAND KREUTZER STATEMENT BY APPLICANT Art Unit 1635 (Not for submission under 37 CFR 1.99) **Examiner Name** TRACY ANN VIVLEMORE Attorney Docket Number A2038-706120 /T.V./9 Cook 5212295 1993-05-18 10 4980286 1990-12-25 Morgan et al. 4868116 1989-09-19 Morgan et al. 11 12 6573046 2003-06-03 Kmiec et al. 13 5939262 Pasloske et al. 1999-08-17 Add If you wish to add additional U.S. Patent citation information please click the Add button. Remove **U.S.PATENT APPLICATION PUBLICATIONS** Pages, Columns, Lines where Examiner Cite Kind Publication Name of Patentee or Applicant Publication Number Relevant Passages or Relevant Initial* Nο Code¹ Date of cited Document Figures Appear /T.V./1 20040072779 2004-04-15 Kreutzer et al. 2 20040001811 2004-01-01 Kreutzer et al. /T.V./ Add If you wish to add additional U.S. Published Application citation information please click the Add button. Remove **FOREIGN PATENT DOCUMENTS** Pages, Columns, Lines Name of Patentee or Examiner Cite Foreign Document Country Publication where Relevant Kind

Applicant of cited

Document

T5

Passages or Relevant

Figures Appear

Initial*

Nο

Number³

Code2 i

Code4 Date

Receipt date: 09/16/2008

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number 10612179

Filing Date 2003-07-02

First Named Inventor ROLAND KREUTZER

Art Unit 1635

Examiner Name TRACY ANN VIVLEMORE

				1		-		
				Attorney Doc	ket Number		A2038-706120	
/T.V./	1	2005/012357	wo		2005-02-10	M	filmer et al.	
200000000000000000000000000000000000000	2	2004/065601	wo		2004-08-05	Р	hilipp et al.	
000000000000000000000000000000000000000	3	2004/045543	wo		2004-06-03	D	harmacon, Inc	
000000000000000000000000000000000000000	4	2004/027030	wo		2004-04-01	ls	sis Pharmaceuticals, Inc.	
000000000000000000000000000000000000000	5	2004/015107	WO		2004-02-19	G	iese et al.	
	6	2001/36646	WO		2008-08-27	Z	ernicka-Goetz et al.	
	7	2000/22113	WO		2000-04-20	s	killern et al.	
200000000000000000000000000000000000000	8	2000/22114	WO		2000-04-20	С	conrad	
	9	1999/54459	wo		1999-10-28	Т	hompson et al.	
X	10	1992/07573	wo		1992-05-14	R	tafield et al.	

1989-08-10

Wilson et al.

11

1989/07136

WO

D _O O	aint	date	S 00/16/2008		Applic	ation N	umber		10612179		
Receipt date: 09/16/2008			Application Number Filing Date				2003-07-02				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT								AND KREUTZER			
				Art Unit			NOL	1635	I		
(No	t for	subm	ission under 37 CFR	1.99)				TDA	 CY ANN VIVLEMORE		
					Attorn	еу Бос	Ket Numb	er	A2038-706120		
/T.	V./	12	1989/05345	wo			1989-06-1	5	Wilson et al.		
		13	1989/02468	wo			1989-03-2	3	Mulligan et al.		
		14	9927444.1	GB			1999-11-1	9	Zernicka-Goetz et al.		
		15	102 35 620.3	DE			2002-08-0	2			X
		16	101 00 586	DE			2002-04-1	1	Ribopharma AG		X
•	/	17	19618797	DE			1996-10-0	5	Wolf Bertling		X
If yo	u wis	h to ac	dd additional Foreign P	atent Doo	cument	citation	informatio	n ple	ease click the Add buttor	1 Add	
				NON-	-PATE	NT LITE	RATURE	DOC	CUMENTS	Remove	
Exa Initia	miner als*	Cite No	Libook madazine lournal serial symnosium catalog etc) date bades(s) yolume-issue number(s)							T 5	
/T.V	! ./	1	ARMENTANO ET AL., "Expression of human factor IX in rabbit hepatocytes by retrovirus-mediated gene transfer: Potential for gene therapy of hemophilia B" Proc. Natl. Acad. Sci. USA 87:6141-6145 (1990).								
000000000	000000000000000000000000000000000000000										
/T.	V./	3	BASBAUM ET AL., "Focalized proteolysis: spatial and temporal regulation of extra cellular matrix degradation at the cell surface" Curr. Opin. Cell Biol. 8:731-738 (1996).								

Receipt date: 09/16/2008

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number 10612179

Filing Date 2003-07-02

First Named Inventor ROLAND KREUTZER

Art Unit 1635

Examiner Name TRACY ANN VIVLEMORE

Attorney Docket Number

A2038-706120

/T.V./	4	BECK, "Unknotting the Complexities of Multidrug Resistance: The Involvement of DNA Topoisomerases in Drug Action and Resistance" J. Natl. Cancer Inst. 81:1683-1685 (1989).	
300000000000000000000000000000000000000	5	BERKNER ET AL., "Development of Adenovirus Vectors for the Expression of Heterologous Genes" BioTechniques 6 (7):616-629 (1998).	
000000000000000000000000000000000000000	6	BIRKEDAL-HANSEN ET AL., "Matrix Metalloproteinases: A Review" Crit. Rev. Oral Biol. Med. 4:197-250 (1993).	
	7	BOYD, "Invasion and metastasis" Cancer Metastasis Rev. 15:77-89 (1996).	
000000000000000000000000000000000000000	8	BRAASCH ET AL., "Locked nucleic acid (LNA): fine-tuning the recognition of DNA and RNA" Chem. Biol. 8:1-7 (2001).	
200000000000000000000000000000000000000	9	BRINCKERHOFF ET AL., "Matrix metalloproteinases: a tail of a frog that became a prince" Nature Reviews 3:207-214 (2002).	
000000000000000000000000000000000000000	10	BUCCHINI ET AL., "Pancreatic Expression of Human Insulin Gene in Transgenic Mice" PNAS USA 83:2511-2515 (1986).	
000000000000000000000000000000000000000	11	CHAO ET AL., "BCL-2 FAMILY: Regulators of Cell Death" Annu. Rev. Immunol. 16:395-419 (1998).	
000000000000000000000000000000000000000	12	CHEN ET AL., "Gene therapy for brain tumors: regression of experimental gliomas by adenovirus-mediated gene transfer in vivo" Proc. Natl. Acad. Sci. USA 91:3054-3057 (1994).	
20000000000000000000000000000000000000	13	CHILDS ET AL., "The MDR Superfamily of Genes and Its Biological Implications" Imp. Adv. Oncol. 21-36 (1994).	
	14	CHOWDHURY ET AL., "Long-Term Improvement of Hypercholesterolemia After Ex Vivo Gene Therapy in LDLR-Deficient Rabbits" Science 254:1802-1805 (1991).	

Receipt date: 09/16/2008 Application Number 10612179 Filing Date 2003-07-02 INFORMATION DISCLOSURE First Named Inventor ROLAND KREUTZER 1635 Art Unit (Not for submission under 37 CFR 1.99) **Examiner Name** TRACY ANN VIVLEMORE A2038-706120

Attorney Docket Number

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

/T.V./	15	CIOCA ET AL., "RNA interference is a functional pathway with therapeutic potential in human myeloid leukemia cell lines" Cancer Gene Therapy 10:125-133 (2003).	
000000000000000000000000000000000000000	16	COLE ET AL., "Overexpression of a Transporter Gene in a Multidrug-Resistant Human Lung Cancer Cell Line" Science 258:1650-1654 (1992).	
***************************************	17	CONE ET AL., "High-efficiency gene transfer into mammalian cells: Generation of helper-free recombinant retrovirus with broad mammalian host range" Proc. Natl. Acad. Sci. USA 81:6349-6353 (1984).	
	18	COOK, "Medicinal chemistry of antisense oligonucleotides - future opportunities" Anti-Cancer Drug Design 6:585-607 (1991).	
330000000000000000000000000000000000000	19	CORNETTA ET AL., "Safety Issues Related to Retroviral-Mediated Gene Transfer in Humans" Human Gene Therapy 2(1):5-14 (1991).	
Худоорологовоогологовоогологово	20	COUTURE ET AL., "Anti-gene therapy: the use of ribozymes to inhibit gene function: Trends in Genetics," 12:510-515 (1996).	
000000000000000000000000000000000000000	21	CROOKE ET AL., "Pharmacokinetic Properties of Several Novel Oligonucleotide Analogs in Mice" J. Pharmacol. Exp. Ther. 277:923-937 (1996).	
000000000000000000000000000000000000000	22	DAI ET AL., "Gene therapy via primary myoblasts: Long-term expression of factor IX protein following transplantation in vivo" Proc. Natl. Acad. Sci. USA 89:10892-10895 (1992).	
000000000000000000000000000000000000000	23	DANOS ET AL., "Safe and efficient generation of recombinant retroviruses with amphotropic and ecotropic host ranges" Proc. Natl. Acad. Sci. USA 85:6460-6464 (1988).	
000000000000000000000000000000000000000	24	D'Ari, "Cycle-regulated genes and cell cycle regulation" Bioassays 23:563-565 (2001).	
V	25	DELGADO ET AL., "The Uses and Properties of PEG-Linked Proteins" Crit. Rev. Therap. Drug Carrier Sys. 9:249-304 (1992).	

Receipt date: 09/16/2008 **Application Number** 10612179 Filing Date 2003-07-02 **INFORMATION DISCLOSURE** First Named Inventor ROLAND KREUTZER **STATEMENT BY APPLICANT** Art Unit 1635 (Not for submission under 37 CFR 1.99) **Examiner Name** TRACY ANN VIVLEMORE A2038-706120 Attorney Docket Number

30000000000000000000000000000000000000		- Diagramm zur Illustrierung des Oegenstands des angegriffenen Patents.							
10000000000 <u>00000000000</u>	27	Diagramm zur illustrierung der Offenbarung von Đ5.							
000000000000000000000000000000000000000	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	- Diagramm zur Hlustrierung der Offenbarung von B43							
/T.V./	29	DOCHERTY ET AL., "Nutrient regulation of insulin gene expression" FASEB J. 8:20-27 (1994).							
росососососососососососос	30	EDER ET AL., "Monitoring of BCR-ABL expression using real-time RT-PCR in CML after bone marrow or peripheral blood stem cell transplantation" Leukemia 13:1383-1389 (1999).							
	31	EGLITIS ET AL., "Gene Expression in Mice After High Efficiency Retroviral-Mediated Gene Transfer" Science 230:1395-1398 (1985).							
	32	ERIKSSON ET AL., "Establishment and characterization of mouse stain (TLL) that spontaneously develops T-cell lymphomas/leukemia" Exp. Hematol. 27:682-688 (1999).							
V	33	FAN ET AL., "Reversal of Multidrug Resistance in Cancer", ed. Kellen, CRC, Boca Raton, FL, pp. 93-125.							
If you wis	sh to a	dd additional non-patent literature document citation information please click the Add button Add							
	EXAMINER SIGNATURE								
Examine	r Signa	ature /Tracy Vivlemore/ Date Considered 04/24/2009							
	*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
Standard S 4 Kind of do	ST.3). ³ l ocument	of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (William For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark	ument.						